

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 03-6172		Application Number 10/689,185			
		Applicants Michael Sweeting et al.					
		Filing Date October 20, 2003	Group Art Unit 3694				
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
		JP2002-063366	2/28/2002	JP			ABSTRACT only
NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		EP Office Action for Application No. 04795705.5 dated 2/17/2009; Attorney Docket No. 03-6172WOEP; 4 pages;					
		International Preliminary Examination report for PCT/US04/34576 dated April 24, 2006; Attorney Docket No. 03-6172WO ; 4 pages					
		"Market Quality and Trader behavior in Manipulated Market: Anatomy of a Squeeze" by Merrick, Naik, and Yadav; August 15, 2002; 53 pages					
		Office Action for Japanese Application No. 2006-536717; October 26, 2010 with 4 pages of Translation (total 7 pages); Attorney docket No. 03-6172WOJP					
Examiner Signature					Date Considered		